

1 **Original Article**

2

3 **Development of a Measurement System for the Mechanical Load of Functional**
4 **Appliances**

5

6 Aya Shimazaki¹, Hitoshi Kimura², Norio Inou², Koutaro Maki¹

7

8 ¹Department of Orthodontics, Showa University Dental Hospital, Tokyo, Japan

9 ²Department of Mechanical Engineering, School of Engineering, Tokyo Institute of Technology,

10 Tokyo, Japan

11

12 Corresponding author: Department of Orthodontics, School of Dentistry, Showa University. 2-1-1

13 Kitasenzoku, Ohta-ku, Tokyo 145-8515, Japan. Tel.: +81-3-3787-1151 Fax: +81-3-5734-2642

14 E-mail: shimazaki@dent.showa-u.ac.jp (A. Shimazaki), maki@dent.showa-u.ac.jp (K. Maki)

15

16 Keywords: Functional appliances, orthodontics, mechanical load, temporomandibular joint,

17 morphology

18

19 Word count: 1527 words

20 **Development of a Measurement System for the Mechanical Load of Functional**
21 **Appliances**

22

23 **Abstract**

24 The morphology of the temporomandibular joint affects the force exerted by the masticatory
25 muscles and, hence, the traction force needed to advance the mandible. The objective of this study
26 was to develop a new device and to examine the mechanical load delivered to the mandible by the
27 functional appliance as well as its relationship to the morphology of the mandibular condyle and
28 articular eminence. Cone-beam computed tomography images of eight patients with skeletal Class II
29 malocclusions due to mandibular undergrowth were acquired, and the angles indicating the shape of
30 the mandibular condyle and articular eminence were measured. A new device was developed to
31 calculate the traction force needed to advance the mandible in patients having a different morphology
32 of the mandibular condyle and articular eminence. The results showed that the force of traction
33 varied according to the morphology of the mandibular condyle and articular eminence. We
34 concluded that the morphology of the mandibular condyle and articular eminence affect the amount
35 of traction force needed to advance the mandible. The new measuring technique using the developed
36 device might be effective for measuring the amount of traction force needed to advance the
37 mandible.

38

39 **1. Introduction**

40 Functional appliances are removable devices that are used for the treatment of skeletal
41 malocclusion caused by mandibular undergrowth (Andresen et al., 1953; Noro et al., 1994).
42 Functional appliances have been widely used in clinical orthodontics for nearly a century. This type
43 of therapy is mainly used in young patients. Nowadays, various types of functional appliances are
44 used, for example, the Bionator and Activator (Balters, 1964; Harbold, 1974). Although the effects of
45 functional appliances have been disputed, their mechanism of action underlying the improvement of
46 skeletal malocclusion remains unclear (Ahlgren and Laurin, 1976; Bondevik, 1991, 1995; Weiland et
47 al., 1997). These appliances are designed to advance the mandible forward and induce mandibular
48 growth by changing the muscle tension and configuration of the temporomandibular joint (TMJ)
49 assembly (Woodside et al., 1987). The shape of the mandibular condyle and articular eminence
50 greatly affect the masticatory muscles and, hence, the occlusal load. Accordingly, the aim of this
51 study was to develop a new device and to examine the mechanical load delivered to the mandible by
52 the functional appliance as well as its relationship to the morphology of the mandibular condyle and
53 articular eminence.

54

55 **2. Materials and Method**

56 **2-1 Prototype mechanical slider**

57 The force required to displace the mandible from the rest position to the advanced position was

58 defined as the mandibular traction force (f). In order to measure the mandibular traction force (f), we
59 developed a new device. First, we designed a prototype as a preliminary experiment. The upper and
60 lower plates resembled the functional appliance. Bite registration was performed by bringing the
61 incisors edge to edge, with a vertical opening of 4.0 mm, regardless of the initial overjet and
62 overbite. A heat-curing acrylic resin (Orthocryl; Dentaaurum Corporation) was used for fabrication.
63 Before polymerizing the plates, the metal plate was interposed between the maxillary and mandibular
64 dentitions. This metal plate had a thickness of 0.3-3.3 mm and had projections on each surface that
65 would make a groove in the upper and lower acrylic portions.

66 A photo interrupter was attached to the mechanical slider, which was the main part of the new
67 device. The photo interrupter is a sensor that can recognize whether the patient's dentition has
68 reached the relatively advanced setting position. The upper and lower plates described above were
69 fixed to the mechanical slider and developed into a prototype. The mandibular traction force (f) was
70 measured using a digital weighing scale, which contained a strain gauge (Figure 1).

71 However, this prototype had some limitations. The first was that the plates easily slipped from the
72 dentition, thus stopping the measurement process. The second was that the prototype required
73 measuring the weight visually while checking the electrical signal from the photointerrupter.
74 Therefore, accurate measurement was difficult.

75

76 **2-2 Development of an automatic measurement device for mandibular traction force**

77 The prototype was improved by utilizing data from the preliminary experiment. The plates must
78 have an adequate retention to the forward position of the mandible. Accordingly, a cap clasp was
79 added to the design of the plates, to utilize the undercut at the buccal side. A linear sensor was added
80 to the mechanical slider to measure the displacement distance accurately. To ensure correct detection
81 of the relatively advanced setting position, the photo interrupter and weighing scale were electrically
82 connected to the central processing unit board (AP-SH2F-BA, PC-USB-04; Alpha Project company),
83 which was connected to a computer (Figure 2). The positional information, judgement whether the
84 relatively advanced setting position had been achieved or not, and elapsed time were recorded using
85 a software (Tera-Term; Tera Term Project) every 0.5 s. The automatic measurement device was used
86 to measure the mandibular traction force (f) for all the examined patients. The measurements were
87 repeated multiple times (three to seven times) for the same patient. The sitting and supine positions
88 were chosen as the measurement postures.

89

90 **2-3 Subjects**

91 As this was a pilot study, we included eight patients (four boys and four girls) diagnosed as having
92 a skeletal Class II malocclusion due to mandibular undergrowth and treated using functional
93 appliances. Patients with congenital and systemic diseases were not included in this study. The
94 patients were over 6 years old and were required to have completed the eruption of all four upper and
95 lower incisors. Their ages ranged from 7 years 10 months to 14 years 0 months. Before starting the

96 functional appliance treatment, the possibility of free mandibular protrusion was checked, and in
97 cases in which this was not achieved, necessary corrections were made before using the functional
98 appliance. These corrections included maxillary or mandibular incisor leveling, and normalizing the
99 maxillary or mandibular incisor axial inclination. All the patients provided written informed consent,
100 and the study was approved by the Ethics Committee of the Showa University Dental Hospital.

101

102 **2-4 Cone-beam computed tomography (CBCT)**

103 To understand the morphological features of the TMJ, the following measurement points were
104 used in this study from the initial examination using CBCT data (Ilguy et al., 2014;
105 Katsavrias, 2002; Katsavrias, 2003; Tadej et al., 1987).

1061. Fr: Fossa roof (the highest point of the fossa)

1072. Ae: Articular eminence height (the lowest point of articular eminence)

1083. Po: porion (the highest point of the auditory meatus)

1094. Or: orbitale (the lowest point on the lower edge of the orbit)

1105. U6: upper first molar mesial cusp

1116. U5: upper second premolar or primary molar buccal cusp

1127. L6: lower first molar mesial cusp

1138. L5: lower second premolar or primary molar buccal cusp

1149. Ce: the point at which the F1 line cuts the eminence posterior surface

115

116 The following planes were established using the above measurement points.

1171. FH plane: the plane passing through the Or and Po

1182. F1: the line parallel to the FH plane passing through point Ce

1193. Occlusal plane: the plane passing through U6, U5, L6, and L5 as the best-fit line

1204. Fr-Ae plane: the plane passing through Fr and Ae

1215. Ebf plane: the best-fit plane of the articular eminence inclination connecting the Ce

1226. Ca-Cp: the broadest distance of the condyle

1237. a-b: the most narrow distance of the condylar neck

1248. Cc line: a line perpendicular to the Ca-Cp line, drawn from the middle of the Ca-Cp line (Cm)

125 In order to understand the morphology of the mandibular condyle, we measured the angles formed

126 between the occlusal plane and the Fr-Ae plane, Ebf line, Ca line, and Cc line. Moreover, the angles

127 between the FH plane and the Fr-Ae plane, Ebf line, Ca line, and Cc line were measured.

128

129 **3. Results**

130 For investigating the relationship between condylar morphology and mandibular traction force (f),

131 the eight patients underwent measurements by using the automatic measurement device. The

132 mandibular traction force, displacement distance of the mandible, and elastic modulus of the

133 mandible were measured (Table 1). The angle measurements indicating the morphology of the

134 mandibular condyle are summarized in Tables 2 and 3.

135

136 **Discussion**

137 The results of measuring the mandibular traction force (f) showed that the traction force while the
138 patients were in the supine position was on average 200 g more than it was in the sitting position.

139 This might be attributed to the effect of gravity. In other words, the change is assumed to be due to

140 the influence of the mass of the mandibular bone and its surrounding soft tissue. The morphology of

141 the mandible differs among the patients. For example, patient A had small values for the angles θ_{Fr-}

142 Ae , θ_{Ebf} , θ_{Ca} , and θ_{Cc} (Figure 4a); in contrast, patient B had higher values (Figure 4b). Several

143 relationships were observed between the morphological characteristics of the mandibular condyle

144 and the articular eminence on one side and the mandibular traction force on the other side. We found

145 that patients who had small values for the angles θ_{Fr-Ae} , θ_{Ebf} , θ_{Ca} , and θ_{Cc} required less

146 mandibular traction force, and patients who had higher values for the same angles required more

147 mandibular traction force. The correlation coefficient between the angle $\theta_{Cc} \cdot FH$ plane and

148 mandibular traction force was statistically significant ($P=0.007$). Previous studies have proposed that

149 individuals with a lower bite force or thinner masseter muscles seem to show a greater dentoalveolar

150 sagittal change in response to functional appliance treatment (Antonarakis et al., 2012; Kiliaridis et

151 al., 2010). In this study, we found that the mandibular morphology, especially the angle of the center

152 of the condyle, was relevant to the mandibular traction force. This could be because when the

153 mandible is advanced, the condyle slides forward along the eminence. Therefore, the angle of the
154 center of the condyle is big, and hence, more mandibular traction force is needed to advance the
155 mandible. However, in the clinical setting, patients unconsciously demonstrate muscle strength and
156 individual differences in the joint capsule; therefore, not all patients always show this tendency.

157

158 **4. Conclusion**

159 We concluded that the morphology of the mandibular condyle and articular eminence affect the
160 amount of traction force needed to advance the mandible. The new measuring technique using the
161 developed device might be effective for measuring the amount of traction force needed to advance
162 the mandible. This might help identify the required traction force for each individual according to the
163 morphology of his/her condyle and articular eminence.

164

165 **Conflict of interest statement**

166 The authors declare no conflict of interest and declare no financial or personal relationship with any
167 organization or people that would influence the outcomes of this research.

168

169 **Acknowledgement**

170 We would like to thank all the study-participants in this study and my coworker for their assistance
171 in sample collection. Also we would like to thank Dr.Gen Endo from Tokyo Institute of Technology

172 for his assistance in fabrication of metal plates used in this study.

173

174 **References**

175 Ahlgren, J., Laurin, C., 1976. Late results of activator-treatment: a cephalometric study. *British*

176 *Journal of Orthodontics* 3, 181-187.

177 Andresen, V., Häupl, K., Petrik, L., 1953. *Funktionskieferorthopädie*. 5 Auflage Barth, München.

178 Antonarakis, G.S., Kjellberg, H., Kiliaridis, S., 2012. Predictive value of molar bite force on Class II

179 functional appliance treatment outcomes. *European Journal of Orthodontics* 34, 244–249.

180 Balters, W., 1964. Die technik und ubung der allgemeinen und speziellen bionator therapie. *Die*

181 *Quintessenz* 1, 77-85.

182 Bondevik, O., 1991. How effective is the combined activator-headgear treatment? *European Journal*

183 *of Orthodontics* 13, 482-485.

184 Bondevik, O., 1995. Treatment needs following activator-headgear therapy. *Angle Orthodontist* 65,

185 417-422.

186 Harbold, E. P., 1974. *The Activator in Interceptive Orthodontics*. The CV Mosby Company, St.

187 Louis.

188 [dataset] Ilguy, D., Ilguy, M, Fisekcioglu, E., Dolekoglu, S., Ersan, N., 2014. Articular eminence

189 inclination, height, and condyle morphology on cone beam computed tomography. *The*

190 *Scientific World Journal*. <http://dx.doi.org/10.1155/2014/761714> .

191 Katsavrias, E., 2002. Changes in articular eminence inclination during the craniofacial growth period.
192 Angle Orthodontist 72, 258-264.

193 Katsavrias, E., 2003. The effect of mandibular protrusive (Activator) appliances on articular
194 eminence morphology. Angle Orthodontist 73, 647-653.

195 Kiliaridis, S., Mills, C. M., Antonarakis, G.S., 2010. Masseter muscle thickness as a predictive
196 variable in treatment outcome of the twin-block appliance and masseteric thickness changes
197 during treatment. Orthodontics and Craniofacial Research 13, 203–213.

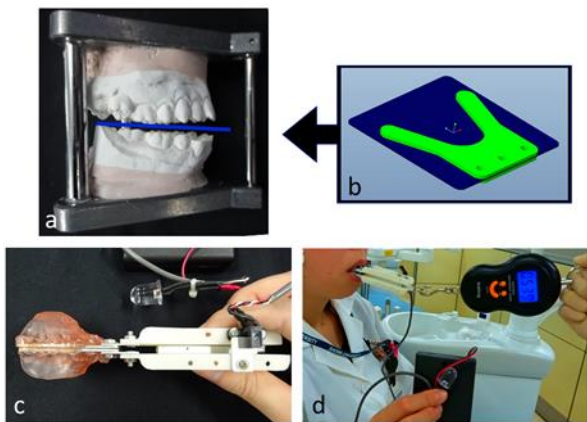
198 Noro, T., Tanne, K., Sakuda, M., 1994. Orthodontic forces exerted by activators with varying
199 construction bite heights. American Journal of Orthodontics and Dentofacial Orthopedics 105,
200 169-179.

201 Tadej, G., Engstrom, C., Borrman, H., Christiansen, E. L., 1989. Mandibular condyle morphology in
202 relation to malocclusions in children. Angle Orthodontist 59-3, 187-194.

203 Weiland, F. J., Ingervall, B., Bantleon, H. P., Droschl, H., 1997. Initial effects of treatment of Class
204 II malocclusion with the Herren activator, activator-headgear combination, and Jasper Jumper.
205 American Journal of Orthodontics and Dentofacial Orthopedics 112, 19-27.

206 Woodside, D. G., Metaxas, A., Altuna, G. 1987. The influence of functional appliance therapy on
207 glenoid fossa remodeling. American Journal of Orthodontics and Dentofacial Orthopedics 92(3),
208 181-198.

209 Figure 1 Patient's plaster models (a), metal plate (b), prototype device (c), and measurement scenario with the prototype.



210

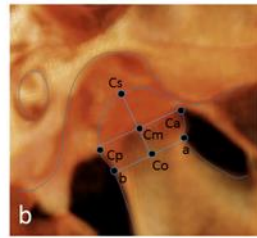
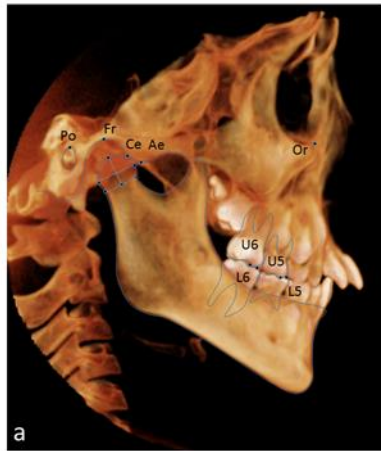
211 Figure 2 Linear sensor (a), photo interrupter (b,c), digital weighing scale containing a strain gauge (d), and overall view of the automatic
212 measuring device for mandibular traction force (e).



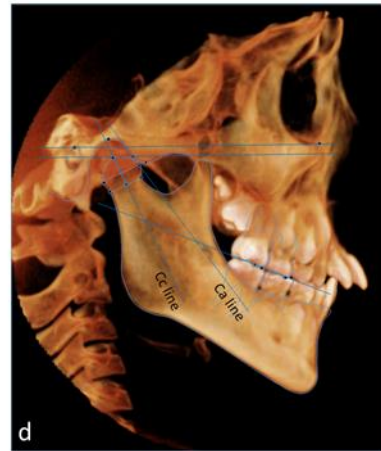
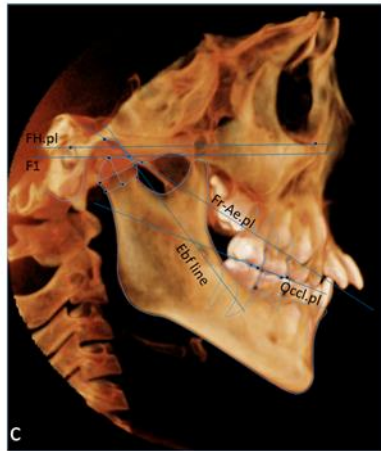
213

214

215 Figure 3 The points used in this study (a,b) and the planes used in this study (c,d).



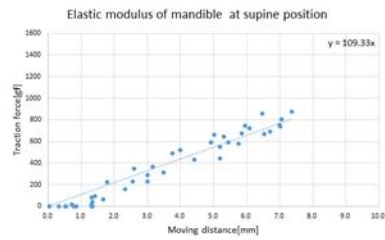
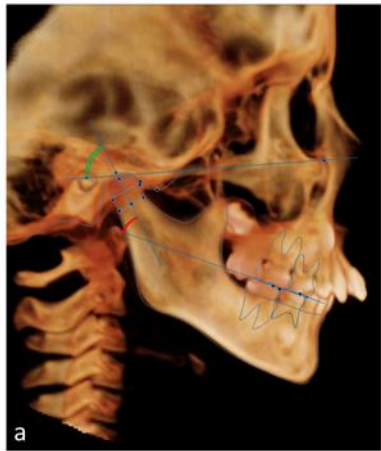
216



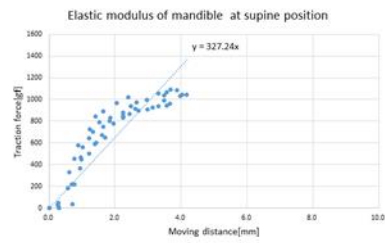
217

218

219 Figure 4 Comparison of patient A and B



220



221

222

223 Table 1 Mandibular traction force (f), displacement distance, and elastic modulus of the mandible.

Measurement	No. 1	No. 2	No. 3	No. 4	No. 5	No. 6	No. 7	No. 8	
Mandibular traction force [gf]	Sitting	197	390	426	222	1363	477	1321	994
	Supine	626	951	517	339	1372	499	1477	1411
Displacement distance of the mandible [mm]	Sitting	4.9	2.2	4.3	2.4	3.4	3.0	7.3	8.9
	Supine	5.5	2.6	4.7	3.2	4.8	2.8	8.7	9.0
Elastic modulus of the mandible [gf/mm]	Sitting	48	161	96	101	377	169	188	139
	Supine	109	327	103	124	324	184	186	215

224

225 Table 2 The angle measurements indicating the morphology of the mandibular condyle with the occlusal plane (Occ.pl)

Measurement	No. 1	No. 2	No. 3	No. 4	No. 5	No. 6	No. 7	No. 8
θ Fr-Ae • Occ.pl	19.5	21.2	16.2	20.4	18.2	30.1	25.2	32.1
θ Ebf • Occ.pl	28.2	31.7	24.1	23.5	23.8	43.6	34.2	35.8
θ Ca • Occ.pl	31.8	35.9	20.2	27.2	26.9	35.6	33.2	41.5
θ Cc • Occ.pl	53.6	61.7	55.6	62.8	66.7	63.0	55.2	75.7

226

227 Table 3 The angle measurements indicating the morphology of the mandibular condyle with the Frankfort plane (FH.pl)

Measurement	No. 1	No. 2	No. 3	No. 4	No. 5	No. 6	No. 7	No. 8
θ Fr-Ae • FH.pl	25.0	35.1	30.5	31.0	33.6	35.9	42.6	32.6
θ Ebf • FH.pl	43.8	48.3	40.1	42.2	45.4	48.7	62.0	42.9
θ Ca • FH.pl	34.9	31.0	33.5	38.4	40.8	41.6	52.6	45.2
θ Cc • FH.pl	68.8	73.1	74.7	75.6	83.6	76.1	76.0	78.4

228